

Master of International and European Private Banking (M2 EIPB)

Titre du Cours : **Ethics and Finance (GMEIPB13)**

Course Title:

Heures : 20h

Lecture hours:

ECTS Credits: 3

➤ PRÉ-REQUIS / PREREQUISITES

Overall understanding of general ethical norms that encompass truthfulness, honesty, integrity, respect for other, fairness and justice. They relate to all aspects of life, including business and finance.

➤ COMPÉTENCES ACQUISES À LA FIN DU COURS / LEARNING OBJECTIVES

The central objective of this unit is to provide students with an understanding of the due diligence activities that are to be taken into consideration when working in the financial industry. It is related to an investigation of a potential investment, services or product offered in finances, thus refers to the care a reasonable person should take before entering into an agreement or a financial transaction with another party.

➤ CONTENU DU COURS / COURSE OVERVIEW

The courses are organized in the manner of comprehensive preparation of the student to the potential professional activities in the financial industry. There are various subjects that are to be reviewed mainly in terms of private and investment bank sector, the regulation of these industries and the regulatory developments and challenges facing them.

➤ LISTE DES SUJETS OU RESUMÉ DES SÉANCES / LIST OF TOPICS PER SESSION OR SUMMARY OF THE COURSE

- ✓ **FINANCIAL MARKET TENDENCIES IN 2018;**
- ✓ **REGULATORY COMPLIANCE PROCEDURES;**
- ✓ **MIFID (II) REGLEMENTATION ;**
- ✓ **BANK ACCOUNT OPENING PROCEDURES;**
- ✓ **TERRORISM FINANCING AND CORRUPTION.**

➤ METHODE D'EVALUATION (CONTROLE CONTINU) / ASSESSMENT AND GRADING

- **ATTENDANCE / PARTICIPATION: 20%**
- **MID-TEST : 20 %**
- **INDIVIDUAL ASSIGNMENT : 20 %**
- **PRESENTATION (FINAL EXAM) : 40 %**

➤ **REFERENCES BIBLIOGRAPHIQUES / BIBLIOGRAPHY**

- ✓ Mishkin, F. S. and Eakins, S. G (2012). Financial markets and institutions (7th ed.). Boston: Pearson Education Inc.
- ✓ Pecora, F. (1939), Wall Street under Oath: The Story of Our Modern Money Changers, Economics Classics. New York
- ✓ Tabarrok, A. (1998). The Separation of Commercial and Investment Banking: The Morgans vs. The Rockfellers, Quarterly Journal of Austrian Economics, 1 (1).
- ✓ The Crisis of Global Capitalism: Open Society Endangered by George Soros
- ✓ Buffettology: The Previously Unexplained Techniques That Have Made Warren Buffett The Worlds by Mary Buffett and David Clark
- ✓ [HTTP://WWW.PWC.COM/GX/EN/INDUSTRIES/FINANCIAL-SERVICES/REGULATION/MIFID-II.HTML](http://www.pwc.com/gx/en/industries/financial-services/regulation/mifid-ii.html)